

10/522505
DTOT Rec'd PCT/PT 26 JAN 2005

| | | |
|---|--|------------------------------------|
| FORM PTO-1449 | SERIAL NO. 10/522505 Not yet assigned | CASE NO. 10808/200 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE 1-26-05 Herewith | GROUP ART UNIT Not yet assigned |
| (use several sheets if necessary) | | APPLICANT(S): Hin-Yiu Chung et al. |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|----|--|-------------------|----------------------|--------------------|----------------|
| MDH | A1 | US 2002/0026900 A1 | March 7, 2002 | Huang et al. | | |
| | A2 | 6,342,691 B1 | January 29, 2002 | Johnsgard et al. | | |
| | A3 | 5,872,889 | February 16, 1999 | Kaltenbrunner et al. | | |
| | A4 | 5,635,409 | June 3, 1997 | Meslehi | | |
| | A5 | 5,581,571 | December 3, 1996 | Holonyak, Jr. et al. | | |
| | A6 | 5,385,866 | January 31, 1995 | Bartush | | |
| | A7 | 5,313,044 | May 17, 1994 | Massoud et al. | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|-----|--|--------------------|----------------|--------------------|--------------------------|
| MDH | A8 | GB 2 355 850 A | May 2, 2001 | United Kingdom | | |
| | A9 | WO 99/03141 | January 21, 1999 | WO | | |
| | A10 | GB 2 295 271 A | May 22, 1996 | United Kingdom | | |
| | A11 | DE 689 19 408 T2 | September 29, 1989 | Germany | | |
| | A12 | DE 37 50 382 T2 | March 4, 1987 | Germany | | |

OTHER ART - NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIAL | | (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |
|---------------------|-----|---|
| MDH | A13 | Spezialgraphite von SGL Carbon, Produkte für die Halbleitertechnik, SGL Carbon Group, pgs. 2-17. |
| | A14 | H.Q. Jia, H. Chen, W.C. Wang, W.X. Wang, W. Li, Q. Huang and Jiunming Zhou, <i>The Study of Thermal Stability During Wet Oxidation of AIAS</i> , Institute of Physics, Chinese Academy of Sciences, pgs. 484-488, Journal of Crystal Growth, Vol. 223, Issue 4, March 2001. |
| | A15 | William G. Breiland, Michael E. Coltrin, J. Randall Creighton, Hong Q. Hou, Harry K. Moffat and Jeffrey Y. Tsao, <i>Organometalic Vapor Phase Epitaxy</i> , Center for Compound Semiconductor Science and Technology, Sandia National Laboratories, Materials Science and Engineering: R. Reports, Vol. 24, Issue 6, pgs. 241-274, February 1999. |
| | A16 | Copy of International Search Report from International Application Number PCT/DE/02523. |
| | A17 | Copy of Examination Report from International Application Number PCT/DE/02523. |

| | |
|----------------------------------|----------------------------|
| EXAMINER <i>Chandra P. H.</i> | DATE CONSIDERED 9/18/07 |
|----------------------------------|----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.